



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/612,314

07/07/2000

Richard Anthony Godwin Smith

088362/0114

Foley & Lardner 3000 K Street N W Suite 500 Washington, DC 20007-5109



Date Mailed: 01/16/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$432.
  - \$432 for 24 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1252.

888

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

19/2001 JADD01

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1/16/01

PTO/SB/17 (1130)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TO AMONUTTAL	Complete if Known				
FEE TRANSMITTAL	Application Number	09/612,314			
OIPE for FY 2001	Filing Date	July 7, 2000			
	First Named Inventor	Richard Anthony Godwin SMITH et al.			
MAR 1 6 200 rent les are subject to annual revision.	Examiner Name	Unassigned			
	Group / Art Unit	1644			
TOTAL AMOUNT OF PAYMENT (\$) 636	Attorney Docket No.	37945-0004			

		METH	OD OF P	AYMENT (check	one)					FEE C	ALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				3, ADI	DITIONAL Large Entity	FEES	Small Entity		`						
Deposit Account 08-1641		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid 65								
Number			105 127	130 50	205 227	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee	00							
Deposit					1400	130	139	130	or cover sheet.  Non-English specification	<del></del>					
Account Name				139 147	_	147	2,520	• •							
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						112	2,520 920*	112	920*	For filing a request for reexamination Requesting publication of SIR prior to Examiner action					
Under 37 CFR 1.16 and 1.17  Applicant claims small entity status.  See 37 CFR 1.27						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
2. A Payment Enclosed:						115	110	215	55	Extension for reply within first month					
☑ Check ☐ Credit card ☐ Money ☐ Other					116	390	216	195	Extension for reply within second						
				Order			117	890	217	445	Extension for reply within third month	<del></del>			
FEE CALCULATION  1. BASIC FILING FEE					118	1,390	218	695	Extension for reply within fourth						
Large	Entity		Entity				128	1,890	228	945	Extension for reply within fifth month				
Fee	•	Fee	Fee	Fee Description			119	310	219	155	Notice of Appeal	ri i			
Code	(\$)	Code	(\$)	•	Fee P	aid	120	310	220	155	Filing a brief in support of an appeal				
101	710	201	355	Utility filing fee	355		121	270	221	135	Request for oral hearing				
106 107		206 207	160 245	Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding				
108	710	208	355	Reissue filing fee	,		140	110	240	55	Petition to revive – unavoidable				
114	150	214	75	Provisional filling	fee		141	1,240	241	620	Petition to revive - unintentional				
ŀ							142	1,240	242	620	Utility issue fee (or reissue)				
SUBTOTAL (1) (\$) 355					143	440	243	220	Design issue fee						
2. EXTR	A CLA	M FFES	<u> </u>				144	600	244	300	Plant issue fee				
] =: =:	U-( OE) 111			Extra F	ee from F	ee	122	130	122	130	Petitions to the Commissioner				
Total Clain	ns 44		-20 =		<del></del>	aid 16	123	130	123	130	Petitions related to provisional applications				
Independer Claims	nt 3		-3 =	0 X	40 = 0		126	180	126	180	Submission of Information Disclosure Stmt				
Multiple Dependent	<u></u>			x [	= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)				
Large Fee	Entity Fee	Small Fee	l Entit Fee	y Fee Descript			146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))				
<b>Code</b> 103	( <b>\$)</b> 18	203	( <b>\$</b> )	Claims in exce			149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))				
102	80	202	40	Independent of	claims in excess o	f 3	179	710	279	355	Request for Continued Examination (RCE)				
104	270	204	135	Multiple depe	ndent claim, if not	paid	1					<u> </u>			
109	80	209	40	** Reissue ind original paten	lependent claims t	over	169 900 169 900 Request for expedited examination of a design application								
110	18	210	9.	** Reissue cla over original p	nims in excess of a	20 and	[								
			s	UBTOTAL (2)	(\$) 216	Other fee (specify)									
*****	hor pro-		n if access	· For Poissups as	o shove		*Redu	ced by Ba	asic Filir	ng Fee P	aid SUBTOTAL (3) (\$) 65				
a orean	mai hisale	Justy Pall	u, ii greate	; For Reissues, se	C		1								

SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	John P. Isacson	Registration No. Attorney/Agent)	33,715	Telephone	(202) 263-8973				
Signature	Til	P.C.		Date	March 16, 2001				

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 37945-0004

Richard Anthony Godwin SMITH et al.

Appl. No.:

09/612,314

Examiner: Unassigned

Filing Date:

July 7, 2000

Art Unit: 1644

Title:

CONJUGATES OF SOLUBLE PEPTIDIC COMPOUNDS WITH MEMBRANE-

**BINDING AGENTS** 

### TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

**Assistant Commissioner for Patents** Washington, D.C. 20231 ATTN: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on January 16, 2001, in the captioned application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

#### Enclosed are:

- [X] Copy of Declaration and Power of Attorney
- [X] Copy of Notice to File Missing Parts (Part 2)
- Applicant claims small entity status (37 CFR §1.27) [X]
- Change of Address [X]
- [X] Check in the amount of \$636.00 in payment of the \$355.00 Basic filing fee, \$216.00 Additional claims fee, \$65.00 surcharge fee (37 C.F.R. §1.16(e))

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 08-1641. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 08-1641.

Respectfully submitted,

Date: March 16, 2001

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Βv

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PATENT TRADEMARK OFFICE